



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Un-	der the Paperwork F	Reduction Act of 1995, no	persons are required	to respond to a collection of in	iformation unless it displays a	valid Olvib control number.
				Application Number	09/737,246	RECEIV
TOANICAITTAI			Filing Date	December 13, 2000		
FORM				First Named Inventor	LU, PETER S.	MAR 1 2 20
				Group Art Unit	1647	TECH CENTED
	(to be used for al	Il correspondence after in	itial filing)	Examiner Name	Bunner, Bridget E.	TECH CENTER 160
	Total Number of	of Pages in This Submiss	ion 37	Attorney Docket Number	VITA-003	
			ENCLOSUR	ES (check all that apply	/)	
	Fee Transmittal Form Fee Attached Assign (for an Drawin Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Power Change			ing-related Papers n n to Convert to a ional Application of Attorney, Revocation ge of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Appeal Communication to Board of Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Appeal Communication to Board of Appeal Communication to Board of Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter	
Signing	Attorney/Agent	SIGNA JAMES S. KEDDIE		ICANT, ATTORNEY, O	R AGENT	
(Reg. N		BOZICEVIC, FIELD				
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Date		February 14, 2003				
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	•	•		ington, DC 20231 on this of	•	
Typed Signati	or printed name	Susan M. Alessi	<i></i>		Date Febru	any 14, 2002
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Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

2003

Approved for use through 04/30/2003. OMB 0651-0032

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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Atty Dkt. No. VITA-003

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CERTIFICATE OF MARIE	RADEM			
	spondence is being deposited wit nation, Commissioner for Patent	th the United States Postal Service s, Washington, D.C. 20231.	as first class mail	in an envelope addressed to:
Typed or Printed Name	Susan M. Alessi			
Signature	Dun 1	7. alisa	Date	February 14, 2003
REQUE	EST FOR	Attorney Docket	VITA-00)3
	TED FILING	Applicant	LU, PET	ER S.
REC	CEIPT	Serial Number	09/737,2	46
		Filing Date	Decembe	er 13, 2000
Address to: Office of Initial Patent 1	Examination	Group Art Unit		
Commissioner for Pater	nts	Examiner Name		
Washington, D.C. 2023	1	Title: "CLASP-3 TR	<i>PANSMEMB</i>	RANE PROTEIN"

Sir:

FEB 2 1 2003 BY

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

Please correct the "Continuing Data as Claimed by Applicant" as indicated on the attached Application Data Sheet, and the marked-up copy of the Filing Receipt as follows.

THIS APPLN CLAIMS BENEFIT OF 60/240,508–10/13/2000
AND CLAIMS BENEFIT OF 60/240,503–10/13/2000
AND CLAIMS BENEFIT OF 60/240,539–10/13/2000
AND CLAIMS BENEFIT OF 60/240,543–10/13/2000
AND CLAIMS BENEFIT OF 60/240,543–10/13/2000
AND CLAIMS BENEFIT OF 60/196,267–04/11/2000
AND CLAIMS BENEFIT OF 60/196,527–04/11/2000
AND CLAIMS BENEFIT OF 60/196,528–04/11/2000
AND CLAIMS BENEFIT OF 60/196,460–04/11/2000
AND CLAIMS BENEFIT OF 60/196,460–04/11/2000
AND CLAIMS BENEFIT OF 60/176,195–01/14/2000
AND CLAIMS BENEFIT OF 60/176,195–01/14/2000
AND CLAIMS BENEFIT OF 60/176,453–12/13/1999
AND CLAIMS BENEFIT OF 60/162,498–10/29/1999
AND CLAIMS BENEFIT OF 60/162,498–10/29/1999

THIS APPLICATION CLAIMS BENEFIT OF 60/240,508 10/13/2000

AND CLAIMS BENEFIT OF 60/240,503 10/13/2000

AND CLAIMS BENEFIT OF 60/240,539 10/13/2000

AND CLAIMS BENEFIT OF 60/240,543 10/13/2000

AND TAKES PRIORITY FROM 09/687,837 10/13/2000

Atty Dkt. No. VITA-003 USSN: 09/737,246

AND TAKES PRIORITY FROM 09/547,276 04/11/2000

AND CLAIMS BENEFIT OF 60/196,267 04/11/2000

AND CLAIMS BENEFIT OF 60/196,527 04/11/2000

AND CLAIMS BENEFIT OF 60/196,528 04/11/2000

AND CLAIMS BENEFIT OF 60/196,460 04/11/2000

AND CLAIMS BENEFIT OF 60/182,296 02/14/2000

AND CLAIMS BENEFIT OF 60/176,195 01/14/2000

AND CLAIMS BENEFIT OF 60/170,453 12/13/1999

AND CLAIMS BENEFIT OF 60/162,498 10/29/1999

AND CLAIMS BENEFIT OF 60/160,860 10/21/1999

If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: February 14, 2003

James S. Keddie, Ph.D.
Registration No. 48,920

BOZICEVIC, FIELD & FRANCIS LLP 200 Middlefield Road, Suite 200 Menlo Park, CA 94025

Telephone: (650) 327-3400 Facsimile: (650) 327-3231



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United States Patent and Trademark Office

UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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GRP ART UNIT FIL FEE REC'D APPLICATION NUMBER ATTY.DOCKET.NO IND CLAIMS FILING DATE **DRAWINGS TOT CLAIMS** 20054-09/737,246 1645 0.00 54 37 10

FEB 2 1 2003

TOWNSEND AND CREW TWO EMBARCADERS CAN EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 8356

FILING RECEIPT

000311US

OC00000005856000

Date Mailed: 03/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Peter S. Lu, Mountain View, CA; Jonathan D. Garman, San Jose, CA; Albert F. Candia III, Menlo Park, CA;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/240,508 10/13/2000 AND CLAIMS BENEFIT OF 60/240,503 10/13/2000 AND CLAIMS BENEFIT OF 60/240,539 10/13/2000 AND CLAIMS BENEFIT OF 60/240,543 10/13/2000 AND CLAIMS BENEFIT OF 09/547,276 04/11/2000 AND CLAIMS BENEFIT OF 60/196,267 04/11/2000 AND CLAIMS BENEFIT OF 60/196,527 04/11/2000 AND CLAIMS BENEFIT OF 60/196,528 04/11/2000 AND CLAIMS BENEFIT OF 60/196,460 04/11/2000 AND CLAIMS BENEFIT OF 60/182,296 02/14/2000 AND CLAIMS BENEFIT OF 60/176,195 01/14/2000 AND CLAIMS BENEFIT OF 60/170,453 12/13/1999 AND CLAIMS BENEFIT OF 60/162,498 10/29/1999 AND CLAIMS BENEFIT OF 60/160,860 10/21/1999

PLEASE UPDATE PER ATTACHED APPLICATION DATA SHFET & REQUEST FOR CORRECTED FILING RECEIPT

Foreign Applications

If Required, Foreign Filing License Granted 03/09/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Clasp-3 transmembrane protein

Preliminary Class

435

Data entry by : SEDIQEE, AHMADULLAH

Team : OIPE

Date: 03/13/2001

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



STATEMENT OF AVAILABLITY

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

- 1. A deposit of nucleic acids AVC-PD16, AVC-PD15, AVC-PD17, AVC-PD14, AVC-PD20, AVC-PD13, AVC-PD19, AVC-PD18, AVC-PD21 and AVC-PD22, has been made and accepted under the provisions of the Budapest Treaty at the ATCC and assigned the following reference numbers PTA-2608, PTA-2609, FTA-2610, PTA-2611, PTA-2612, PTA-2613, PTA-2614, PTA-2615, PTA-2616 and PTA-2616, respectively;
- 2. All restrictions on the availability to the public of the culture deposited will be irrevocably removed upon the granting of a patent from the above-identified application;
- 3. The deposit will be maintained for a period of 30 years after the date of deposit or 5 years after the last request for a sample or for the enforceable life of the patent, whichever is longer.
- 4. The deposit will be replaced if viable samples cannot be dispensed by the depository; and



5. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued therefrom;

Further declarant sayeth not.

Signed this 12 day of February 2002	isday of	- Februar	2002
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Signature:

Printed Name:



10801 University Blvd ● Manassas, VA 20110-2209 ● Telephone: 703-365-2700 ● FAX: 703-365-2745

BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3
AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)
Arbor Vita Corporation
Attn; Peter S. Lu, MD
772 Lucerne Dr.
Sunnyvale, CA 94085

Deposited on Behalf of: Arbor Vita Corporation

Identification Reference by Depositor:	Patent Deposit Designation
Human Clasp-4 5' cDNA Clone: AVC-PD16	PTA-2608
Human Clasp-5 5' cDNA Clone: AVC-PD15	PTA-2609
Human Clasp-4 5' cDNA Clone: AVC-PD17	PTA-2610
Human Clasp-2 5' cDNA Clone: AVC-PD14	PTA-2611
Human Clasp-5 5' cDNA Clone: AVC-PD20	PTA-2612
Human Clasp-1 5' cDNA Clone: AVC-PD13	PTA-2613
Human Clasp-2 5' cDNA Clone: AVC-PD19	PTA-2614
Human Clasp-1 5' cDNA Clone: AVC-PD18	PTA-2615
Human Clasp-3 5' cDNA Clone: AVC-PD21	PTA-2616
Human Clasp-3 5' cDNA Clone: AVC-PD22	PTA-2617

The deposits were accompanied by: ___ a scientific description_a proposed taxonomic description indicated above. The deposits were received October 17, 2000 by this International Depository Authority and have been accepted.

AT YOUR REQUEST: X We will inform you of requests for the strains for 30 years.

The strains will be made available if a patent office signatory to the Budapest Treaty certifies one's right to receive, or if a U.S. Patent is issued citing the strains, and ATCC is instructed by the United States Patent & Trademark Office or the depositor to release said strains.

If the cultures should die or be destroyed during the effective term of the deposit, it shall be your responsibility to replace them with living cultures of the same.

The strains will be maintained for a period of at least 30 years from date of deposit, or five years after the most recent request for a sample, whichever is longer. The United States and many other countries are signatory to the Budapest Treaty.

The viability of the cultures cited above was tested October 25, 2000. On that date, the cultures were viable.

International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Frank Simi ne, Director, Patent Depository

cc: William Smith

Date: January 22, 2001

TECH CENTER 1600/2900

United States Patent and Trademark Office



APPLICATION NUMBER FILING DATE ATTY, DOCKET NO./TITLE FIRST NAMED APPLICANT 09/737,246 12/13/2000

Peter S. Lu

020054000311

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 8356 *OC00000009600438*

Date Mailed: 03/06/2003

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Domestic Continuity and Foreign Priority

	n response to your request for a corrected Filing Receipt, the Office is unable to comply with the equest because:
	The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
X	Continuity claimed under 35 U.S.C. § 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
	A claim for priority cannot be made based on an application filed after the application making the claim.
	Domestic benefit and foreign priority claims will not be captured in a provisional application. A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 U.S.C. § 111(b)(7) and 37 CFR 1.53(c)(4).
	A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
	Foreign priority will appear on the Filing Receipt in the following order: Country, Application number, Filing date.
	This application is the result of a conversion from a provisional application. Priority based on such application cannot be made since it no longer exists as a provisional application.



The application(s) to which priority is claimed were filed over a year prior to the filing date of this application. Therefore, the referenced application(s) cannot be claimed as domestic or foreign priority.
To change the benefit claim of a U.S. prior-filed application, applicant must amend the first sentence of the specification (if the benefit claim is referenced in the specification), or provide a supplemental application data sheet (ADS) (if the benefit claim was submitted in an ADS), with the desired benefit claim. Note that once a benefit claim is deleted, applicant will not be able to claim such prior-filed application again, if the above-identified application was filed on or after November 29, 2000.
To change a foreign priority claim, applicant must submit a supplemental oath or declaration (if the priority claim is referenced in the oath or declaration), or a supplemental application data sheet (ADS) (if the priority claim was submitted in an ADS), with the desired priority claim. If a supplemental ADS is submitted, any deletions should be shown with strikeouts. Note that once a priority claim is deleted, applicant will not be able to claim such foreign application again, if the above-identified application was filed on or after November 29, 2000.
N-S-

Customer Service Center Office of Initial Patent Examination (703) 308-1202